Henderson

GLOBAL FINANCIALS FUND

Henderson GLOBAL INVESTORS

Fund facts at 30 September 2016

Fund aim
To achieve long-term capital growth. The fund will invest principally in the securities of financial services companies both in the UK and internationally.

Launch date
A Acc - 28 December 2001
I Acc - 16 May 2003

Investment Association sector
IA Specialist

Fund size
£56.62m

Ratings
Morningstar rating - ★★★★

Type of shares
Accumulation and Income

XD date
01-Dec

Dividend pay date
31-Jan

Minimum investments

A Acc	£1,000
I Acc	£500,000

Charges (%)	**Initial**	**Ongoing**
A Acc	5.25	1.72
I Acc	Nil	1.09
	Annual†	
A Acc	1.50	
I Acc	1.00	

†For Income shares only, 100% of the Annual Management Charge is taken from capital.

Market capitalisation	**(%)**
>£20bn	43.8
£10bn-20bn	17.1
£5bn-10bn	22.8
£1bn-5bn	13.6
<£1bn	0.0

SEDOL numbers
3191934 (A Acc)
B4JVX46 (A Inc)
3191923 (I Acc)

Fund manager profile

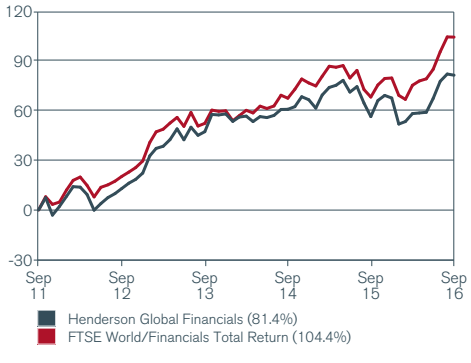
The Henderson Global Equities Team is comprised of six investment professionals who, in aggregate, have more than 103 years of investment experience and several of whom are Chartered Financial Analysts. The team research and analyse stocks from around the globe.

To obtain quarterly commentary from our fund manager please visit our website on: www.henderson.com.

Top 10 holdings	**(%)**	**Top 10 countries**	**(%)**	**Top 10 sectors**	**(%)**
American Tower	4.0	United States	50.0	Banks	38.6
Citizens Financial Group	3.7	Brazil	8.0	Financial Services	22.1
Standard Chartered	3.5	Canada	5.9	Non-Life Insurance	15.6
MarketAxess	3.4	Germany	5.4	Real Estate Investment Trusts	13.9
Fairfax Financial	3.2	United Kingdom	4.8	Real Estate Investment & Services	3.8
First Republic Bank	3.2	Japan	4.3	Support Services	1.6
Aon	3.1	Australia	3.7	Life Insurance	1.6
Vonovia	3.1	Austria	2.4		
JPMorgan Chase	3.0	Indonesia	2.1		
Regency Centers	3.0	India	2.0		
Total number of holdings	**69**			**Cash**	**2.8**

Performance

Percentage growth, 30 Sep 11 to 30 Sep 16.



Source: at 30 Sep 16. © 2016 Morningstar. All Rights Reserved, nav-nav, UK sterling, net income reinvested.

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Past performance is not a guide to future performance. The value of an investment and the income from it can fall as well as rise and you may not get back the amount originally invested.

Cumulative performance	**A Acc % change**	**I Acc % change**
1 year	16.0	16.7
2 years	12.8	14.2
3 years	23.1	25.6
4 years	60.5	64.7
5 years	81.4	87.2

Source: at 30 Sep 16. © 2016 Morningstar. All Rights Reserved, nav-nav, UK sterling, net income reinvested.

Discrete year performance	**A Acc % change**	**I Acc % change**
30/09/2015 to 30/09/2016	16.0	16.7
30/09/2014 to 30/09/2015	-2.7	-2.1
30/09/2013 to 30/09/2014	9.2	9.9
28/09/2012 to 30/09/2013	30.3	31.2
30/09/2011 to 28/09/2012	13.0	13.6

Source: at 30 Sep 16. © 2016 Morningstar. All Rights Reserved, nav-nav, UK sterling, net income reinvested.
Discrete performance data may change due to final dividend information being received after quarter end.

GLOBAL FINANCIALS FUND
(continued)

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Fund manager
Henderson Global Equities Team

General risks

- The value of the funds and the income from them is not guaranteed and may fall as well as rise. You may get back less than you originally invested.
- Past performance is not a guide to future performance.
- You should note that your tax treatment in relation to any investments held outside an ISA will depend on your individual circumstances and may be subject to change in the future. Governments may change the tax rules which affect you or the funds in which you have invested.

What are the risks specific to this fund?

- Where the funds invest in assets (including cash) which are denominated in currencies other than the base currency (pounds sterling) then currency exchange rate movements may cause the value of investments to fall as well as rise.
- If a fund's management charge is taken from its capital, then this may allow more income to be paid, however, it may also restrict capital growth or even result in capital erosion over time.
- This fund is designed to be used only as one component in several in a diversified investment portfolio. Investors should consider carefully the proportion of their portfolio invested into this fund.

Important information

The Company was incorporated in the United Kingdom as an umbrella open ended investment company. Fund size at 12pm on last business day of month.
Please note: due to rounding the figures in the holdings breakdowns may not add up to 100%. Unless otherwise indicated all figures sourced from Morningstar, Datastream, BNP Paribas and Henderson Global Investors. Please note the views, opinions and forecasts expressed in this document are based on Henderson's research, analysis and house views at the time of publication. Third party data is believed to be reliable, but its completeness and accuracy is not guaranteed. Please read all scheme documents before investing. Before entering into an investment agreement in respect of an investment referred to in this document, you should consult your own professional and/or investment adviser. The value of an investment and the income from it can fall as well as rise and you may not get back the amount originally invested. Tax assumptions and reliefs depend upon an investor's particular circumstances and may change if those circumstances or the law change. If you invest through a third party provider you are advised to consult them directly as charges, performance and terms and conditions may differ materially than those shown on this document. Nothing in this document is intended to or should be construed as advice. This document is not a recommendation to sell or purchase any investment. It does not form part of any contract for the sale or purchase of any investment. Any investment application will be made solely on the basis of the information contained in the Prospectus (including all relevant covering documents), which will contain investment restrictions. This document is intended as a summary only and potential investors must read the prospectus, and where relevant, the key investor information document before investing. Issued in the UK by Henderson Global Investors. Henderson Global Investors is the name under which Henderson Global Investors Limited (reg. no. 906355), Henderson Fund Management Limited (reg. no. 2607112), Henderson Investment Funds Limited (reg. no. 2678531), Henderson Investment Management Limited (reg. no. 1795354), AlphaGen Capital Limited (reg. no. 962757), Henderson Equity Partners Limited (reg. no.2606646), Gartmore Investment Limited (reg. no. 1508030), (each incorporated and registered in England and Wales with registered office at 201 Bishopsgate, London EC2M 3AE) are authorised and regulated by the Financial Conduct Authority to provide investment products and services.