

GLOBAL INTERMEDIARY IDENTIFICATION NUMBERS (GIIN)

Henderson
GLOBAL INVESTORS

as at 4 November 2014

The USA adopted The Foreign Account Tax Compliance Act ("FATCA") within the US HIRE Act 2010.

The below entities have registered with the IRS and been allocated a GIIN for the purpose of FATCA compliance.

Entity	IGA Country	GIIN
Exempt beneficial owners (EBO)		
Henderson Diversified Growth	Luxembourg	Not required
Henderson Institutional Exempt North American Enhanced Equity Fund	UK	Not required
OEIC & Unit Trusts - sponsoring entity for OEICs and Unit Trusts		
Henderson Investment Funds Limited	UK	Z5RCNY.00000.SP.826
Optimum Investment Management Limited	UK	POT1T6.00000.SP.826
SICAV range - sponsoring entity for Luxembourg SICAVs		
Henderson Global Investors Limited	Luxembourg	20N9G0.00000.SP.826
Investment Trust range		
City of London Investments Limited	UK	SL0PP4.99999.SL.826
ENGANDSCOT LIMITED	UK	PBXAIE.99999.SL.826
Henderson Diversified Income Limited	Jersey	MAZ4WI.99999.SL.832
Henderson Diversified Income s.á.r.l	Luxembourg	HCPDHK.99999.SL.442
Henderson European Focus Trust plc	UK	THMNPN.99999.SL.826
Henderson EuroTrust plc	UK	P560WP.99999.SL.826
Henderson Far East Income Limited	Jersey	NTTIYP.99999.SL.832
Henderson Global Trust plc	UK	83854G.99999.SL.826
Henderson High Income Trust plc	UK	JBA08I.99999.SL.826
Henderson International Income Trust plc	UK	WRGF5X.99999.SL.826
Henderson Opportunities Trust plc	UK	LVAHJH.99999.SL.826
Henderson Value Trust plc	UK	AEFUI2.99999.SL.826
Lowland Investment Company plc	UK	2KBHLK.99999.SL.826
The Bankers Investment Trust PLC	UK	L5YVFP.99999.SL.826
The City of London European Trust Limited	UK	HSFJ2S.99999.SL.826
The City of London Finance Company Limited	UK	BZ8WF6.99999.SL.826
The City of London Investment Trust plc	UK	S55HF7.99999.SL.826
The Henderson Smaller Companies Investment Trust plc	UK	WZD8S7.99999.SL.826
TR European Growth Trust PLC	UK	JX9KYH.99999.SL.826
TREG Finance Limited	UK	Z7E1T4.99999.SL.826
Hedge fund range		
OC 526 Offshore Fund Limited	Cayman Islands	81SA65.99999.SL.136
Northern Pines Capital Master Fund LP	Cayman Islands	1RWVGF.99999.SL.136
The AlphaGen Northern Pines Fund Limited	Cayman Islands	GCGWUQ.99999.SL.136
Sponsoring entity for all other funds in hedge fund range		
Henderson Alternative Investment Advisor Limited	UK	JEA7CJ.00000.SP.826

Entity	IGA Country	GIIN
Singaporean range - sponsoring entity		
Henderson Global Investors (Singapore)	Singapore	RB9F1Y.00000.SP.702
Japanese range - sponsoring entity		
Henderson Global Investors Japan Limited	Japan	90YB75.00000.SP.392
Australia		
H3 Global Commodities Fund	Cayman Islands	M6CFT5.99999.SL.136
H3 Global Strategies Fund	Cayman Islands	H92PNL.99999.SL.136
Australian fund range - sponsoring entity		
Henderson Global Investors (Australia) Fund Management Limited	Australia	Z7M0PN.00000.SP.036
Private Equity		
Henderson Asia Pacific Equity Partners II (FP) LP	Jersey	UA09JF.99999.SL.832
Henderson Asia Pacific Equity Partners (FP) LP	UK	M1Z4KX.99999.SL.826
Henderson Asia Pacific Equity Partners Co-investment Fund II LP	Jersey	XQ7FNF.99999.SL.832
Henderson Asia Pacific Equity Partners I LP	UK	1UDZPK.99999.SL.826
Henderson Asia Pacific Equity Partners II LP	Jersey	1HF808.99999.SL.832
Henderson European Partners (FP) LP	UK	TRCJT5.99999.SL.826
Henderson Fund Partners (FP) LP	UK	1NVUTF.99999.SL.826
Henderson Global Fund of Funds (FP) LP	UK	IU0BAX.99999.SL.826
Henderson Global Fund of Funds I LP	UK	QDZRYU.99999.SL.826
Henderson Infrastructure (F.P.) L.P	UK	Q5PZ2F.99999.SL.826
Henderson Infrastructure (F.P.) II L.P	UK	9UZGH8.99999.SL.826
Henderson PFI Secondary Co-investment Fund 'A' LP	UK	U96DKB.99999.SL.826
Henderson PFI Secondary Co-investment Fund 'B' LP	UK	3MR6VZ.99999.SL.826
Henderson PFI Secondary Co-investment Fund II LP	UK	RR4NHF.99999.SL.826
Henderson PFI Secondary Fund II LP	UK	JVQ39K.99999.SL.826
Henderson PFI Secondary Fund LP	UK	KBJTLV.99999.SL.826
Henderson PFISF Investor LP	UK	N6C5ZU.99999.SL.826
Henderson Technology Partners I LP	UK	UP4B7G.99999.SL.826
HHG Private Capital Portfolio No.1 LP	UK	JZIF08.99999.SL.826
PCP II LP	UK	ICDN0L.99999.SL.826
PriCap 2 L.P	UK	AK8P4F.99999.SL.826
PriCap 3 L.P	UK	ADDQ6U.99999.SL.826
PriCap 4 L.P	UK	W1PMCF.99999.SL.826
PriCap 5 L.P	UK	4QWCT3.99999.SL.826
Private Capital Portfolio LP	UK	4B8MGX.99999.SL.826

Corporate entities

In addition, Henderson has a number of corporate entities which have been registered with the IR.

The GIIN for these corporate entities can be provided on request.

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KNOWLEDGE. SHARED

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