

APPLICATION TO TOP UP

Valid from 25 May 2018

You should use this form if you wish to top up your existing fund(s) in the Henderson Investment Funds Limited range, including top ups to your Janus Henderson ISA. You should read an up to date version of the Key Investor Information Document "KIID" for each share/unit class you wish to invest into before signing this form. You should also read the Additional Investor Information Document (AIID) which includes important information and Janus Henderson's ISA Terms and Conditions. Please complete this form in **BLOCK CAPITALS** and return it to **Janus Henderson Investors, PO Box 9023, Chelmsford CM99 2WB**.

SECTION 1 – About yourself

EXTERNAL CODE: KIID

ISA Non-ISA

First holder	
Title	Daytime tel no.
Surname	Email
First name(s)	Account designation (if applicable)
Permanent residential address	
	Registered name (if not an individual, e.g. company name/trust)
Postcode	Account number <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Second holder (if applicable)	
Title	First name(s)
Surname	

Third holder (if applicable)	
Title	First name(s)
Surname	

Fourth holder (if applicable)	
Title	First name(s)
Surname	

Agents stamp
 To be completed by the agent if appropriate. By placing your details here and/or by placing business with us, you confirm that you have received, read and are bound by our Intermediary Terms of Business, available at www.janushenderson.com/advisers.

Agency ref:

Advice not given by the agent to the customer.

Discount %: _____ Commission payable %: _____

Advice given by the agent to the customer.

(where **neither** box has been ticked, we will apply your default status ("advised" or "execution only") to this transaction)

Media code: _____

SECTION 2 – Investment selection

Please select the fund(s) and the share unit/class you wish to invest in using our **investment options form** and write the fund details and your top up amount in the appropriate box.

The current maximum allowance in a ISA is £20,000.

Cheques should be made payable to **'Henderson Investment Funds Limited'**.

Fund name	Share/Unit class	Top-up amount
<i>Example: All Stocks Credit Fund</i>	<i>A Acc</i>	<i>£100</i>

SECTION 3 – Declaration and authority

I confirm that I cannot be classified as a US person (as defined in the Prospectus).

I acknowledge that US persons cannot hold shares or units in any of the funds listed.

I have received and read an up-to-date version of the KIID.

I acknowledge that the KIID can be obtained from within the literature library at www.janushenderson.com and I consent to being provided with the KIIDs in this form via the website and not addressed to me personally.

I acknowledge that I have been notified of the address of the website and the place on the website where the KIID may be accessed.

I further confirm that the medium of a website is appropriate in the context of the business between me and Janus Henderson Investors.

Signature	Date
Signature	Date
Signature	Date
Signature	Date

Data Protection and Consent to Marketing

The use of the personal data you provide to us in this Application Form is governed by the General Data Protection Regulation (EU) 2016/679 (GDPR) and Janus Henderson's Privacy Policy. The Privacy Policy details our collection, use and processing of your personal data (including the data you provide in this form) and sets out your rights. A copy of the document is available under the Privacy Policy section of our website at www.janushenderson.com and in hard copy by sending a request to privacy@janushenderson.com.

We would like to send you updates about our expert insights, events and webcasts and information about our products and services. For these purposes, your details may be shared with companies within the Janus Henderson Group. We will always treat your details in accordance with our Privacy Policy and you will be able to unsubscribe at any time. **If you consent to receive such updates and information, please tick this box.**

Janus Henderson
INVESTORS

We may record telephone calls for our mutual protection, to improve customer service and for regulatory record keeping purposes.

Issued in the UK by Janus Henderson Investors. Janus Henderson Investors is the name under which Janus Capital International Limited (reg no. 3594615), Henderson Global Investors Limited (reg. no. 906355), Henderson Investment Funds Limited (reg. no. 2678531), AlphaGen Capital Limited (reg. no. 962757), Henderson Equity Partners Limited (reg. no.2606646), (each incorporated and registered in England and Wales with registered office 201 Bishopsgate, London EC2M 3AE) are authorised and regulated by the Financial Conduct Authority to provide investment products and services. © 2018, Janus Henderson Investors. The name Janus Henderson Investors includes HGI Group Limited, Henderson Global Investors (Brand Management) Sarl and Janus International Holding LLC.